

Corporate Accountability for Tax Expenditures Act 93-552

Annual Project Progress Reports for 2015

Caterpillar Inc.

Mapleton

I. Development Assistance Agreements Awarded in 2015

	Assistance Amount	Agreement Number
IDOT Economic Development Program	462,150.00	15 DTA41507

II. Organization/Project Site Information

Chief Officer or authorized designee	Eric Niekirk
Title	Global Economic Development Manager
Address	100 NE Adams ST - AH9320
	AB9320
	Peoria, IL 61629-9320
	USA
Phone	309-675-1440
E-mail	Niekirk_Eric_R@cat.com
Standard Industrial Classification Number (SIC#)	3321
North American Industry Classification System (NAICS)	331511

III. Did the recipient's use of the State Funding reduce employment at any other site in Illinois? No

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IV. Job Creation and Retention Data

Program Type IDOT Economic Development Program

Agreement Number 15 DTA41507

Assistance Amount 462,150.00

Report Header Definitions	
Wages	Average Annual Salary by Classifications
Full-Time	Permanent Full-Time
+/-	Gain or (Loss)

Number of Employees At the Time of Application

Job Classification	Avg Annual Salary	Positions	Full-Time	Part-Time	Temporary
Salaried/Management	85000.00	95	95	0	0
Hourly	47000.00	580	580	0	0
Totals:		675	675	0	0

Number of Employees As of the Date of the Report (12/31/2015)

Job Classification	Avg Annual Salary	Positions	Full-Time	+/-	Part-Time	+/-	Temporary	+/-
Salaried/Management	87000.00	93	93	-2	0	0	0	0
Hourly	49000.00	483	483	-97	0	0	0	0
Totals:		576	576	-99	0	0	0	0

Number of Jobs Stated in the Agreement that would be Created at the Site as a Result of Assistance

Job Classification	Avg Annual Salary	Positions	Full-Time	Part-Time	Temporary
Salaried/Management	65000.00	25	25	0	0
Hourly	47000.00	125	125	0	0
Totals:		150	150	0	0

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Number of Jobs Stated in the Agreement that would be Retained at the Site as a Result of Assistance

Job Classification	Avg Annual Salary	Positions	Full-Time	Part-Time	Temporary
NONE	0.00	0	0	0	0
Totals:		0	0	0	0

Number of Full-Time Permanent Employees Anticipated To Be Hired at this Site on 12/31/2015

Job Classification	Anticipated Starting Dates	Number of Positions to be Hired in this Category	Average Annual Wage per New Employee	Total New Payroll to be Created
Salaried/Management	12/31/2016	19	\$65,000.00	\$1,235,000.00
Hourly	12/31/2016	108	\$47,000.00	\$5,076,000.00
Totals:		127		\$6,311,000.00

Job Creation Data Explanatory Notes

If the change (gain/loss) in the number of full-time permanent employees as of the date of the report plus the number of full-time permanent employees anticipated to be hired after date of the report does not equal the number of full-time permanent employees stated in the Agreement that would be created at the site as a result of the assistance, then please explain why not:

Due to the current market conditions as noted by Caterpillar's press release on September 24th, 2015, there has been a decrease in the number of employees in Mapleton, IL since the time of application (based on January 2015 employment). However, as of December 31, 2015, there have been 23 total jobs created as a result of moving production from Franklin, IN and Toccoa, GA to Mapleton. As of the end of March 2015, there were an additional 83 jobs created from this new work in Mapleton. That brings the total to date of created jobs to 106 as compared to the 150 jobs to be created in Mapleton by December 2019.

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I, Eric Nieukirk, as the chief officer or authorized designee of the recipient, verify that the information in the progress report contains no knowing misrepresentation of material facts upon which eligibility for development assistance is based. I further certify that, to the best of my knowledge, the recipient is in compliance with the development assistance agreement(s) between, or on behalf of, the recipient and the Illinois Department of Commerce and Economic Opportunity and/or the Illinois Department of Transportation and/or the office of the Illinois State Treasurer

Signature on File

Signature

5/11/2016

Date

Global Economic Development Manager

Title